

Week 4: Heisenberg Uncertainty Principle, EPR Paradox

COMS 4281 (Fall 2024)

1. Practice problem sheet available, quiz on Gradescope tonight.
Quizzes should be done individually.
2. Pset1 out, due October 6, 11:59pm.

- Heisenberg Uncertainty Principle
- EPR Paradox

Bell's Theorem and the CHSH Game

Einstein, Podolsky, and Rosen's thought experiment about the EPR pair:

$$\frac{1}{\sqrt{2}} \left(|00\rangle + |11\rangle \right)$$

If Alice measures in the standard basis and gets outcome $|b\rangle$, Bob's qubit will collapse to $|b\rangle$.

Otherwise, if Alice measures in the diagonal basis and gets outcome $|+\rangle$ or $|-\rangle$, Bob's qubit will collapse to that state too.

Faster-than-light communication?

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No! Alice, given her measurement outcome, knows the state of Bob's qubit. But she cannot control the outcome; it's random.

Since it's random, and Bob *does not* know the outcome, he cannot predict the state of his qubit.

EPR Paradox continued

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EPR proposed that Quantum Mechanics be replaced with a **local hidden variable theory**. This should be

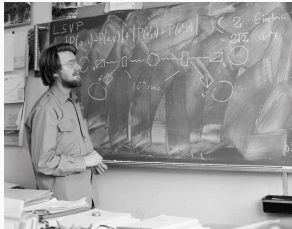
1. Consistent with QM
2. Not allow faster-than-light communication
3. All measurement outcomes are described by hidden, underlying variables.

Einstein spent the rest of his life hoping for a classical replacement of Quantum Mechanics.

The EPR Paradox went unsolved for nearly 3 decades...

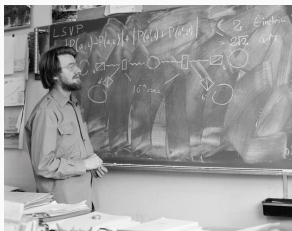
Bell's Theorem

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He devised an experiment, nowadays called a **Bell test**, such that the predictions of Quantum Mechanics differ from the predictions of *any* local hidden variable theory!

A simplification of Bell's experiment, devised in 1970s by Clauser, Horne, Shimony, Holt.

Setup

- Two cooperating, but separated players Alice and Bob.
- Referee who plays game with Alice and Bob.

CHSH Game

1. Referee flips two coins to get random bits $x, y \in \{0, 1\}$
2. Sends x to Alice, y to Bob.
3. Alice responds with bit a , Bob responds with bit b .
4. Alice, Bob win if $a \oplus b = x \wedge y$

In other words:

x	y	Win condition
0	0	$a = b$
0	1	$a = b$
1	0	$a = b$
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What is maximum win probability for Alice and Bob?

CHSH Game: Deterministic Strategies

Suppose Alice and Bob are **deterministic**.

CHSH Game: Deterministic Strategies

Suppose Alice and Bob are **deterministic**.

This means Alice's answer a is a fixed function $a(x)$ of her question. Similarly, Bob answers with a function $b(y)$.

One can see, by trying all possible functions for Alice/Bob, the maximum win probability is $3/4$.

What's a simple deterministic strategy that achieves $3/4$?

CHSH Game: Local Hidden Variable Strategies

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This means that there is an underlying **random variable** λ , such that

1. Before the game starts, λ is sampled from some distribution \mathcal{L}
2. Questions (x, y) sampled independently of λ .
3. Alice's answer is a function $a(x, \lambda)$
4. Bob's answer is a function $b(y, \lambda)$.

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Proof:

$$\Pr[\text{win}] = \sum_{\lambda} \Pr[\lambda] \cdot \Pr[\text{win} \mid \lambda]$$

But if λ is fixed, then Alice and Bob's answers are deterministic functions of their questions only, meaning $\Pr[\text{win} \mid \lambda] \leq \frac{3}{4}$.

Therefore

$$\Pr[\text{win}] \leq \sum_{\lambda} \Pr[\lambda] \cdot \frac{3}{4} \leq \frac{3}{4}.$$

CHSH Game: Local Hidden Variable Strategies

In other words, Einstein would've predicted that Alice and Bob cannot win with probability greater than $3/4$ in the CHSH game!

CHSH Game: Quantum Strategy

What does Quantum Mechanics predict?

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There exists a **quantum strategy** involving quantum entanglement where Alice and Bob win with probability $\approx 85.4\%$.

This gives an experiment to *rule out* local hidden variable theories!

CHSH Game: Quantum Strategy

1. Before the game starts, Alice and Bob get together and generate an EPR pair

$$|\Phi\rangle = \frac{1}{\sqrt{2}} \left(|00\rangle + |11\rangle \right).$$

Alice takes one qubit and Bob takes another qubit, and they go their separate ways.

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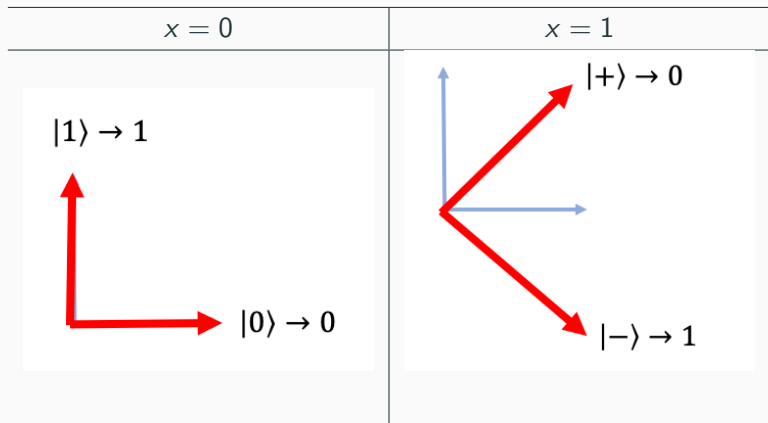
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Alice takes one qubit and Bob takes another qubit, and they go their separate ways.

2. When players get their question, they measure their qubit in a basis to get a binary outcome which they map to 0 or 1 as their answer.

CHSH Game: Quantum Strategy

Alice's measurements on her qubit, depending on her question x :

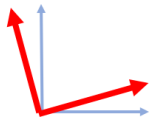


CHSH Game: Quantum Strategy

Bob's measurements on his qubit, depending on his question y :

$$y = 0:$$

$$|s_1\rangle = -\sin\left(\frac{\pi}{8}\right)|0\rangle + \cos\left(\frac{\pi}{8}\right)|1\rangle$$



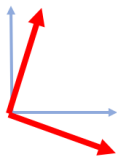
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CHSH Game: Quantum Strategy

Bob's measurements on his qubit, depending on his question y :

$$y = 1:$$

$$|t_1\rangle = \sin\left(\frac{\pi}{8}\right) |0\rangle + \cos\left(\frac{\pi}{8}\right) |1\rangle$$



$$|t_0\rangle = \cos\left(\frac{\pi}{8}\right) |0\rangle - \sin\left(\frac{\pi}{8}\right) |1\rangle$$

CHSH Game: Quantum Strategy

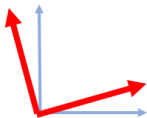
How well does this strategy do? Suppose $x = 0, y = 0$.

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Alice measures her qubit in **standard basis**. Bob measures using this basis:

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$$|s_0\rangle = \cos\left(\frac{\pi}{8}\right)|0\rangle + \sin\left(\frac{\pi}{8}\right)|1\rangle$$

How to analyze two simultaneous measurements on two separate qubits?

We can pretend Alice measures first, and then Bob. Or vice versa!
Distributions of outcomes are **identical**

What happens when Alice measures her qubit of EPR pair in standard basis?

Alice gets $|0\rangle$ with probability $\frac{1}{2}$, and joint state collapses to $|0\rangle \otimes |0\rangle$.

To win, Bob must measure his qubit and get $|s_0\rangle$ outcome.

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Since his qubit is now in $|0\rangle$ state, he gets this outcome with probability

$$\left| \langle 0 | s_0 \rangle \right|^2 = \cos^2(\pi/8) \approx .8535 \dots$$

On the other hand, Alice gets $|1\rangle$ with probability $\frac{1}{2}$, and joint state collapses to $|1\rangle \otimes |1\rangle$.

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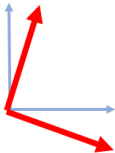
In either case they win with probability $\cos^2(\pi/8) \approx .8535\dots$

One more example: suppose $x = 1, y = 1$.

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Alice measures her qubit in **diagonal basis**. Bob measures using this basis:

$$|t_1\rangle = \sin\left(\frac{\pi}{8}\right) |0\rangle + \cos\left(\frac{\pi}{8}\right) |1\rangle$$



$$|t_0\rangle = \cos\left(\frac{\pi}{8}\right) |0\rangle - \sin\left(\frac{\pi}{8}\right) |1\rangle$$

In order to win, Alice and Bob's answers must **differ**.

If Alice measures $|+\rangle$, then state collapses to $|+\rangle \otimes |+\rangle$ and Bob must measure $|t_1\rangle = \sin(\pi/8)|0\rangle + \cos(\pi/8)|1\rangle$ to win. This occurs with probability:

$$|\langle + | t_1 \rangle|^2 = \cos^2(\phi)$$

where ϕ is the angle between $|+\rangle$ and $|t_1\rangle$.

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where $\pi/8$ is the angle between $|+\rangle$ and $|t_1\rangle$.

If Alice measures $|-\rangle$, then state collapses to $|-\rangle \otimes |-\rangle$ and Bob must measure $|t_0\rangle = \cos(\pi/8)|0\rangle - \sin(\pi/8)|1\rangle$ to win. This occurs with probability:

$$|\langle - | t_0 \rangle|^2 = \cos^2(\pi/8) \approx .8535 \dots$$

CHSH Game: Quantum Strategy

Checking the other two cases ($x = 1, y = 0$ and $x = 0, y = 1$), you see they always win with probability $\cos^2(\pi/8) \approx .854\dots$. This shows that there is **quantum advantage** for the players in the CHSH game!

CHSH Game: Quantum Strategy

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It turns out that $\cos^2(\pi/8)$ is the **best win probability for quantum strategies**. (This is known as *Tsirelson's theorem*).

The quantum advantage in the CHSH game comes from the players' entanglement.

Local measurements on entangled states give rise to correlations that are **stronger** than any classical correlations.

These correlations are often called **nonlocal**.

Experimental Confirmation of Bell's Theorem

Over the years, many experiments conducted of Bell's Theorem (these are called **Bell tests**).

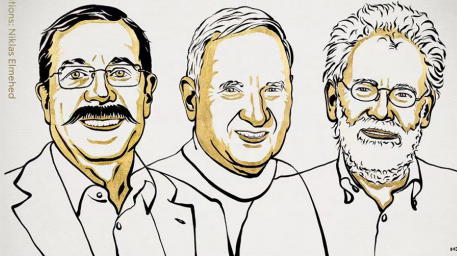
Starting in 1972, many tests (some based on CHSH game, others different) conducted. All demonstrate winning probabilities that cannot be explained by any LHV.

Conclusion:

1. Quantum mechanics is fundamentally a non-classical theory, and Nature seems to be Quantum Mechanical.
2. Nature is intrinsically probabilistic.

THE NOBEL PRIZE IN PHYSICS 2022

Illustrations: Niklas Elmehed



Alain
Aspect

John F.
Clauser

Anton
Zeilinger

*"for experiments with entangled photons,
establishing the violation of Bell inequalities
and pioneering quantum information science"*

THE ROYAL SWEDISH ACADEMY OF SCIENCES

Quantum **computing**: Universal gate sets, and a (modest) quantum speedup.