

Week 9: Quantum algorithms for search and counting

COMS 4281 (Fall 2023)

1. Worksheet and Quiz 5 out.

Recap of Grover search

Given query access to $f : \{0, 1\}^n \rightarrow \{0, 1\}$, find a **marked input** x such that $f(x) = 1$.

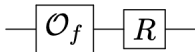
- Classical algorithms: Need at least $\sim N$ queries to f .
- Grover's algorithm: $\sim \sqrt{N}$ queries suffices.

(Remember that $N = 2^n$)

Recap of Grover search

The algorithm:

1. Start with $|+\rangle^{\otimes n}$.
2. Run $k = O(\sqrt{N})$ iterations of the **Grover iterate**



where $R = 2|+\rangle\langle +|^{\otimes n} - I$ is the Grover diffusion operator.

Analysis of Grover's algorithm (attempt #2)

Let x^* denote the unique marked input.

Important fact: The intermediate states of Grover's algorithm are linear combinations of

$$|x^*\rangle \quad \text{and} \quad |\Delta\rangle = \frac{1}{\sqrt{2^n - 1}} \sum_{x \neq x^*} |x\rangle$$

We can prove this via induction.

Base case

Base case: initial state

$$|+\rangle^{\otimes n} = \frac{1}{\sqrt{2^n}} \sum_x |x\rangle = \sqrt{\frac{2^n - 1}{2^n}} |\Delta\rangle + \frac{1}{\sqrt{2^n}} |x^*\rangle$$

Inductive step

Assume that an intermediate state of Grover's algorithm has form $|\psi\rangle = \alpha |\Delta\rangle + \beta |x^*\rangle$.

Claim: $O_f |\psi\rangle$ is linear combination of $|\Delta\rangle, |x^*\rangle$.

Proof:

$$\begin{aligned} O_f(\alpha |\Delta\rangle + \beta |x^*\rangle) &= \alpha O_f |\Delta\rangle + \beta O_f |x^*\rangle \\ &= \alpha |\Delta\rangle - \beta |x^*\rangle . \end{aligned}$$

Dirac notation interlude

When we write $|+\rangle\langle+|$, we mean the outer product

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} .$$

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When we write $|+\rangle\langle+|^{\otimes n}$, we mean

$$|+\rangle\langle+| \otimes |+\rangle\langle+| \otimes \cdots \otimes |+\rangle\langle+| = (|+\rangle\langle+|)^{\otimes n} .$$

which is an n -qubit **matrix** of size $2^n \times 2^n$.

Dirac notation interlude

When we write $|+\rangle^{\otimes n}$, we mean the tensor product

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which is a 2^n -dimensional **column vector**. Similarly, $\langle +|^{\otimes n}$ is a 2^n -dimensional **row vector**. The outer product

$$|+\rangle^{\otimes n} \langle +|^{\otimes n}$$

is a $2^n \times 2^n$ **matrix** .

These are three different ways of writing the same thing!

$$|+\rangle^{\otimes n} \langle +|^{\otimes n} = (|+\rangle \langle +|)^{\otimes n} = |+\rangle \langle +|^{\otimes n} .$$

Inductive step

Assume that an intermediate state of Grover's algorithm has form $|\psi\rangle = \alpha |\Delta\rangle + \beta |x^*\rangle$.

Claim: $R|\psi\rangle$ is linear combination of $|\Delta\rangle, |x^*\rangle$.

Proof:

$$\begin{aligned} R_f |\psi\rangle &= (2|+\rangle\langle+|^{\otimes n} - I) |\psi\rangle \\ &= 2|+\rangle^{\otimes n} \underbrace{(\langle+|^{\otimes n} |\psi\rangle)}_{\text{number!}} - |\psi\rangle \end{aligned}$$

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Recall $|+\rangle^{\otimes n}$ is a linear combination of $|\Delta\rangle, |x^*\rangle$, and so is $|\psi\rangle$ by assumption.

Thus $R_f |\psi\rangle$ is a linear combination of $|\Delta\rangle, |x^*\rangle$.

Analysis of Grover's algorithm

Claim: After k Grover iterations, the state of the algorithm is

$$|\psi\rangle = \cos((2k + 1)\theta) |\Delta\rangle + \sin((2k + 1)\theta) |x^*\rangle$$

where $\theta = \sin^{-1}(\sqrt{1/N})$.

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We prove this by induction.

Base case: $k = 0$. The initial state can be written as

$$|+\rangle^{\otimes n} = \sqrt{\frac{N-1}{N}} |\Delta\rangle + \sqrt{\frac{1}{N}} |x^*\rangle .$$

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where $\theta = \sin^{-1}(\sqrt{1/N})$.

Inductive step: Assume true for $k \geq 1$. Then one more Grover iteration yields

$$\begin{aligned} RO_f |\psi\rangle &= \cos((2k + 1)\theta) RO_f |\Delta\rangle + \sin((2k + 1)\theta) RO_f |x^*\rangle \\ &= \cos((2k + 1)\theta) R |\Delta\rangle - \sin((2k + 1)\theta) R |x^*\rangle \end{aligned}$$

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Thus we get

$$\begin{aligned} RO_f|\psi\rangle &= \cos((2k+1)\theta)\left(\cos(2\theta)|\Delta\rangle + \sin(2\theta)|x^*\rangle\right) \\ &\quad - \sin((2k+1)\theta)\left(\sin(2\theta)|\Delta\rangle + \cos(2\theta)|x^*\rangle\right) \end{aligned}$$

as desired.

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Multiple solutions

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The intermediate states of the algorithm are in the span of

- $|\Gamma\rangle = \frac{1}{\sqrt{M}} \sum_{x:f(x)=1} |x\rangle$, uniform superposition over all **solutions**
- $|\Delta\rangle = \frac{1}{\sqrt{N-M}} \sum_{x:f(x)=0} |x\rangle$, uniform superposition over all **non-solutions**

In the end, the output is a **random** solution.

Multiple solutions

What if you wanted to output **all** solutions?

There is $O(\sqrt{NM})$ query solution:

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3. Update the oracle to exclude x_2 . Find another solution x_3 , etc.

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The total number of queries is

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$$\begin{aligned} & \sqrt{\frac{N}{M}} + \sqrt{\frac{N}{M-1}} + \cdots + \sqrt{\frac{N}{1}} \\ &= \sum_{j=0}^{M-1} \sqrt{\frac{N}{M-j}} \leq \int_0^{M-1} \sqrt{\frac{N}{M-x}} dx \\ & \leq O(\sqrt{NM}) \end{aligned}$$

Quantum counting

What if you wanted to **count** the number of solutions, not just find them?

Given query access to $f : \{0, 1\}^n \rightarrow \{0, 1\}$, output an estimate \tilde{M} of the number of marked inputs M , such that

$$(1 - \epsilon)M \leq \tilde{M} \leq (1 + \epsilon)M.$$

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Solution: Grover search + phase estimation.

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Recall that for Phase Estimation, we need:

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1. (Controlled) unitary U (and its powers)
2. An eigenvector of U with eigenvalue $e^{i\theta}$

We output an estimate $\tilde{\theta}$ for θ .

Unitary: we'll use the Grover iterate $G = RO_f$.

Quantum counting

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On the 2-dimensional subspace $\text{span}\{|\Gamma\rangle, |\Delta\rangle\}$, this is the rotation matrix

$$\begin{pmatrix} \cos 2\theta & -\sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

where $\sin \theta = \sqrt{M/N}$. The eigenvalues of this are $e^{i2\theta}$ and $e^{-i2\theta}$.

The nontrivial eigenvectors of G are:

$$|\psi_{\pm}\rangle = \frac{1}{\sqrt{2}} \left(|\Gamma\rangle \pm i |\Delta\rangle \right).$$

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$$|\psi_{\pm}\rangle = \frac{1}{\sqrt{2}} \left(|\Gamma\rangle \pm i |\Delta\rangle \right).$$

We run Phase Estimation with the state $|+\rangle^{\otimes n}$, which satisfies

$$|+\rangle^{\otimes n} = \alpha |\psi_+\rangle + \beta |\psi_-\rangle$$

for some $\alpha, \beta \in \mathbb{C}$.

Running Phase Estimation, we get a state that is close to

$$\alpha |\psi_+\rangle |\widetilde{2\theta}\rangle + \beta |\psi_-\rangle |-\widetilde{2\theta}\rangle$$

Measuring the second register, we get an approximation of 2θ or -2θ with some probability. Assuming $\theta < \pi/2$, we can recover θ from either.

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Using t ancilla qubits, can estimate the phase to within 2^{-t} .

The estimate of number of solutions is then

$$\tilde{M} = N(\sin \tilde{\theta})^2.$$

How far off is this from the true number of solutions?

$$|\tilde{M} - M| = N |\sin(\theta + \delta)^2 - \sin(\theta)^2|$$

$$\begin{aligned} |\tilde{M} - M| &= N \left| \sin(\theta + \delta)^2 - \sin(\theta)^2 \right| \\ &= N \left(\sin(\theta + \delta) + \sin(\theta) \right) \left(\sin(\theta + \delta) - \sin(\theta) \right) \end{aligned}$$

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Thus the estimate satisfies

$$\left| \tilde{M} - M \right| \leq 2\sqrt{NM}\delta + N\delta^2$$

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Remember that $\delta \leq 2^{-t}$. Then choosing $t = \log \left(\frac{1}{\epsilon} \sqrt{\frac{N}{M}} \right)$ we get

$$(1 - \epsilon)M \leq \tilde{M} \leq (1 + \epsilon)M.$$

as desired.

Complexity of quantum counting

We're running phase estimation with t bits of precision, which means we're running $G, G^2, G^4, \dots, G^{2^t}$ which means

$$1 + 2 + 4 + \dots + 2^t = 2^{t+1} - 1$$

queries to O_f .

Complexity of quantum counting

This is at most

$$O\left(\frac{1}{\epsilon} \sqrt{\frac{N}{M}}\right)$$

queries – not much more than finding a **single** solution!

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This also gives a way to find a solution without knowing M : first get estimate \tilde{M} , and then run $O(\sqrt{N/\tilde{M}})$ iterations!

Quantum complexity theory.